

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. <b>671302-2007</b>
		First Inventor <b>SUGAMURA ET AL.</b>
		Title <b>NON-HUMAN MAMMAL MODEL OF AUTOIMMUNE DISEASE HAVING OX40L GENE TRANSFERRED THEREINTO</b>
		Express Mail Label No. <b>EV 385417019 US</b>

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification **[Total Pages 1-44]**  
*(preferred arrangement set forth below)*

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s) **[Pages 45-48]**
- Abstract of the Disclosure **[ONE PAGE – PAGE 49]**

4.  Drawing(s) **(35 U.S.C. 113)** **[Total Sheets 9]**

5. Oath or Declaration **[Total Pages 3, unsigned]**

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76.

Addressed to: **Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

7.  CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

- a.  Computer Readable Form (CFR)
- b. Specification sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

17497 US PTO 17497 US PTO

032604

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & documents(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney *(when there is an assignee)*

11.  Power of Attorney

12.  English Translation Document *(if applicable)*  Copies of IDS Citations

13.  Information Disclosure Statement (IDS)/PTO-1449

14.  Preliminary Amendment

15.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

16.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

17.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach from PTO/SB/35 or its equivalent.

18.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: **PCT/JP02/07674 filed July**

**29, 2002**

Prior application information:

Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number	<b>20999</b>		or <input type="checkbox"/> Correspondence address below
Name	Thomas J. Kowalski		
Address	Frommer Lawrence & Haug LLP, 745 Fifth Avenue		
City	New York	State	NY
Country	USA	Telephone	(212) 588-0800
Name (Print/Type)	Thomas J. Kowalski	Registration No. (Attorney/Agent)	32,147
Signature	<i>Thomas J. Kowalski</i>		Date
			March 26, 2004

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$806.00)

## Complete if Known

Application Number

HEREWITH

First Named Inventor

SUGAMURA ET AL.

Examiner Name

Attorney Docket No.

671302-2007

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None
 Deposit Account:

Deposit Account Number

50-0320

Frommer Lawrence &amp; Haug LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code \$ Fee Code \$ Fee Description

Fee Paid

1051	130	2051	65	Surcharge – late filing fee or oath
1052	50	2052	25	Surcharge – late provisional filing fee or Cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for ex parte reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action

1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive – unavoidable
1453	1,330	2453	665	Petition to revive- unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	22	-20** =	2	Extra Claims	Fee from below	Fee Paid
Independent Claims	2	-3** =	0	X	18	36.00
Multiple Dependent				X	86	0

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	86	2201 43 Independent claims in excess of 3
1203	290	2203 145 Multiple dependent claim, if not paid
1204	66	2204 43 ** Reissue independent claims over original patent
1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$36.00)

1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for continued examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify)

SUBTOTAL (3) (\$ )

\*\* or number previously paid, if greater; For Reissues, see above

\* Reduced by Basic Filing Fee

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Thomas J. Kowalski

Registration No.

32,147

Telephone (212) 588-0800

Signature

Thomas J. Kowalski

Date

March 26, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggesting or reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AFC/Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION**

Attorney Docket No. : 671302-2007

Title : NON-HUMAN MAMMAL MODEL OF AUTOIMMUNE  
DISEASE HAVING OX40L GENE TRANSFERRED  
THEREINTO

Filed : Herewith

Applicants : SUGAMMURA ET AL.

Mailed: **March 26, 2004** via "Express Mail Label # **EV 385417019 US**

I hereby certify that the Patent Application for the above-referenced application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

**MAIL STOP PATENT APPLICATION**  
**Assistant Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**Enclosed:** Utility Patent Application (total 49 pages, specification pages 1-44, claims pages 45-48, abstract page 49)  
9 Sheets of Figures (Figures 1-7)  
Utility Patent Application Transmittal  
Fee Transmittal  
Declaration and Power of Attorney (unsigned)  
Check in the amount of \$806.00 (to cover filing fee)  
Forms PCT/RO/105, PCT/ISA/202, 210, 220, PCT/IB/301,  
304, 306, 308, 332, PCT/RO/101, PCT/IPEA/402, 408, 409, 416  
Return Receipt Postcard

Saddam Ahmed  
(Type/printed name of person mailing paper or fee)

S. Ahmed  
Signature